The Code of Ethical Business Conduct (the “Code”) is designed to promote honest, ethical and lawful conduct by all associates, corporate officers, board officers, members of corporate advisory boards, community advisory boards and directors of Haven (“Covered Persons”). The Code is intended to help Covered Persons understand Haven’s standards of ethical business practices and to stimulate awareness of ethical and legal issues that you may encounter in carrying out your responsibilities to Haven.

At all times, you are expected to:

- Avoid conflicts between personal and professional interests where possible;
- Comply with Haven’s Conflicts of Interest Policy & Procedure (attached to the Code);
- Provide accurate, complete and timely information in the course of fulfilling your obligations;
- Provide full, fair, accurate, timely, and understandable disclosure in reports required to be filed by Haven with regulators and in other public communications made by Haven;
- Comply with all applicable laws, regulations and policies of Haven;
- Seek guidance where necessary from the Compliance Officer;
- Promptly report any violations of the Code to the Compliance Officer, or if you are a member of the board or advisory board, to the Chairman of Haven’s Board; and
- Be accountable personally for adherence to the Code.
WHO DO I CONTACT FOR GUIDANCE OR TO REPORT CONCERNS?

If you believe a situation may involve or lead to a violation of the Code, you have an affirmative duty to seek guidance and report such concerns.

Seek guidance from the Compliance Officer or, in the case of directors or member of Haven’s corporate advisory board also contact the Chairman of Haven’s Board.

Disclose concerns or violations of the Code to the Compliance Officer (or, for directors or member of an advisory board also contact the Chairman of Haven’s Board).

Report audit and accounting concerns to the Compliance Committee and to the Compliance Officer.

Instructions for seeking guidance or reporting concerns or violations are included in the Code at pages 13 and 14.

Corporate Compliance Hotline

The Compliance Hotline is available 24 hours per day by calling toll-free 1-877-286-3889. Alternatively, the Compliance Officer may be contacted by mail at the following address:

Haven Compliance Office
4200 NW 90th Blvd
Gainesville, FL 32606

Compliance Committee

The Compliance Committee may be contacted by mail at the following address:

Haven Compliance Committee
Compliance Department
4200 NW 90th Blvd
Gainesville, FL 32606
It is the policy of Haven to encourage the communication of bona fide concerns relating to the lawful and ethical conduct of business, and audit and accounting procedures or related matters. It is also the policy of Haven to protect those who communicate bona fide concerns from any retaliation for such reporting.

Confidential and anonymous mechanisms for reporting concerns are available and are described in the Code. However, anonymous reporting does not serve to satisfy a duty to disclose your own potential involvement in a conflict of interest or in unethical or illegal conduct.

The Code is part of a broader set of policies and compliance procedures described in greater detail in Haven’s Associate Handbook and distributed memoranda. The Code is not intended to supersede or materially alter specific policies and procedures already in place and applicable to particular associates as set forth in the Associate Handbook and distributed memoranda, and communicated to associates.

No policy can provide definitive answers to all questions. It is difficult to anticipate every decision or action that you may face or consider. Whenever there is doubt about the right ethical or legal choice to make, or questions regarding any of the standards discussed or policies referenced in the Code, you should fully disclose the circumstances, seek guidance about the right thing to do, and keep asking until guidance is obtained.

Those who violate the standards in the Code shall be subject to disciplinary action. Failure to follow the Code, as well as to comply with federal, state, local and any applicable foreign laws, and the policies and procedures of Haven may result in termination of employment or termination of board service.

CORPORATE POLICY

It is the policy of Haven that Covered Persons conduct all business affairs and relationships with others with honesty, integrity and high ethical standards. In order to provide standards of appropriate conduct for Covered Persons, the Board of Directors of Haven have adopted the Code.

INTRODUCTION

The ability of Haven to function as respected members of the business community rests solely on the trust and confidence that our patients, providers, regulators and other persons with whom we do business have in our organization. That confidence is earned, on a daily basis, when we conduct business with integrity and have a culture that encourages the highest ethical standards. Haven has
established and adopted the following professional standards as a component of its Corporate Compliance Program to reflect the requirements of federal, state and local laws and regulations. The Board of Directors has designated the Compliance Officer as the individual within Haven responsible for overall implementation and operation of the Compliance Program.

All Covered Persons are required to adhere to the standards as set forth in the Code and for ensuring their behavior and activities are consistent with the Code. Haven has prepared this Code to help Covered Persons understand what is expected of them in the workplace and as representatives of Haven. These standards apply to everyone, at every level of the organization. Covered Persons shall be asked to confirm, in writing, receipt of the Code and an understanding of its content. In addition, there are a number of more detailed and specific procedures covering particular departments or compliance subjects published in Policies and Procedures and manuals of Haven. Those specific procedures shall be communicated to personnel who are particularly affected by them and who must comply with them in the normal course of business.

If you have any questions about the Code, please contact the Compliance Officer.

STANDARDS OF CONDUCT

Legal Compliance

Haven shall strive to ensure that all activity by or on behalf of the organization is in compliance with applicable federal, state and local laws and regulations. The following standards are intended to provide guidance to Covered Persons that shall assist them in their obligation to comply with these laws and regulations. These standards are neither exclusive nor complete.

1) Haven is diligent in its efforts to provide frequent and comprehensive updates to Covered Persons addressing recent legislative or regulatory changes that may impact the operations of Haven. Covered Persons are expected to stay abreast of any changes that may impact their departmental operations and to make adjustments as necessary to be in compliance with new or revised legislation.

2) Haven expects Covered Persons to refrain from any conduct that may violate state and federal fraud, waste and abuse laws. These laws prohibit, at a minimum:

a) Direct, indirect, or disguised payments in exchange for the referral of patients;

b) The submission of false, fraudulent or misleading claims to any government entity or third party payer, including claims for services not rendered, claims which characterize the service differently than that actually rendered, or claims which do not otherwise comply with applicable program or contractual requirements; and
c) Making false representations to any person or entity in order to gain or retain participation in a program or to obtain payment for any service.

3) All Covered Persons are required to comply with all applicable laws and regulations, whether or not they have been specifically addressed by a written policy in this or any other policy manual. Haven shall vigorously enforce compliance and shall take corrective action, including termination and legal action as appropriate.

4) Haven shall ensure that reporting to external agencies, associations, and the public is timely, accurate, honest, and reasonably complete.

Antitrust

While Covered Persons are encouraged to actively participate in local, state and national organizations and forums to advocate for efficient and effective health care for all citizens, it is Haven’s policy for them to conduct all of their activities in full compliance with federal and state antitrust laws. Accordingly, in the course of outside meetings and other industry activities, it is important that Covered Persons refrain from discussing, agreeing, or exchanging information regarding any competitively sensitive information with any person who is a representative or associate, officer or director of any competitor. Such information includes, but may not be limited to:

1) What constitutes a fair price;

2) What constitutes fair market value;

3) Census of patients,

4) Contracts with providers or suppliers;

5) Other competitively sensitive information, such as information about market share, profits, margins, costs, reimbursement levels or methodologies for reimbursing employed physicians.

These same standards of conduct are to be observed at all informal or social discussions at the sites of any public or private meetings or gatherings.

Confidentiality

Covered Persons are in possession of and have access to a wealth of confidential, sensitive and proprietary information regarding the businesses of Haven. The inappropriate release of such
information could be detrimental to Haven, as well as its patients, providers, and/or vendors. Every Covered Person has an obligation to actively protect and safeguard confidential, sensitive and proprietary information in a manner designed to prevent its unauthorized disclosure.

1) Covered Persons have an obligation to maintain the confidentiality of patient information in accordance with all applicable laws and regulations. Information requiring protection exists in many formats, such as paper, electronic, audio, and video. All copies, formats and versions of confidential information must be maintained in accordance with applicable laws and the policies and procedures of Haven. Haven assigns access to confidential information through a role-based security approach to ensure that only those persons whose positions require it and who have a legitimate need-to-know, have the ability to access confidential information. Covered Persons shall not share passwords or other system access rights with any other person(s), whether or not such persons are affiliated with Haven. Covered Persons are instructed to always make sure that any access or use of confidential data is carried out using only the minimum amount necessary. Additionally, Covered Persons shall refrain from revealing any personal or confidential information to third persons unless supported by legitimate business or patient care purposes. If the disclosure of information is so supported, Covered Persons shall use or disclose on a need-to-know basis, only the minimum amount necessary to accomplish the task. If questions arise regarding an obligation to maintain the confidentiality of information or the appropriate release of information, Covered Persons should seek assistance from the Compliance Officer.

2) Information, ideas and intellectual property assets of Haven are important to their success. Information pertaining to competitive position, business strategy, payment and reimbursement information, and information relating to negotiations with third parties or other associates should be protected and shared only with those individuals having a need to know such information in order to perform the responsibilities of their positions with Haven.

3) Salary, benefit and other personal information relating to Covered Persons shall be treated as confidential. Personnel files, payroll information, disciplinary matters and similar information shall be maintained in a manner designed to ensure confidentiality in accordance with applicable laws.

4) Covered Persons shall exercise due care to prevent the unauthorized release or sharing of information. Covered Persons are required to sign a Statement of Confidentiality at the time of hire and on an annual basis thereafter.
Conflict of Interest

“Conflicts of interest” may arise when personal or financial relationships or interests interfere, or have the potential to interfere, with professional roles, responsibilities, or independent judgment. A conflict of interest occurs when there is a divergence between an individual’s private interests and his or her professional obligations to Haven, such that an independent observer might reasonably question whether the individual’s professional actions or decisions are determined by considerations of personal gain, financial or otherwise. A conflict of interest depends on the situation, and not on the character or actions of the individual.

Haven has adopted a Conflicts of Interest Policy & Procedure ("Conflicts of Interest Policy") attached as Exhibit A to the Code and incorporated within the Code. Covered Persons are expected to comply with the provisions of the Conflicts of Interest Policy.

Receipt of Gifts

“Gifts” to Covered Persons from firms or individuals who are conducting, would like to conduct business with, or who are direct competitors of Haven raise the potential of conflicts of interest. Gifts to Covered Persons from patients raise the potential for improprieties. Gifts include tangible personal property, as well as services, entertainment, promotional premiums on personal purchases of goods or services, advertising or promotional materials, gratuities or any other personal benefit or favor of any kind.

The Conflicts of Interest Policy describes the policies of Haven with respect to the receipt of gifts by Covered Persons. Covered Persons are expected to comply with the provisions of the Conflicts of Interest Policy regarding gifts.

Political Activities

Tax exempt organizations, such as Haven, are subject to legal limitations on political campaigning and lobbying activities. All such activities on behalf of Haven must be conducted in compliance with applicable laws. Accordingly, Covered Persons should not use assets of Haven to engage in any political activities without prior review by and approval from both the President and the Compliance Officer.

Under the Internal Revenue Code, all section 501(c)(3) organizations are absolutely prohibited from directly or indirectly participating in, or intervening in, any political campaign on behalf of (or in opposition to) any candidate for elective public office. Certain activities or expenditures may be permitted depending on the facts and circumstances. For example, certain voter education activities (including presenting public forums and publishing voter education guides) conducted in a non-partisan manner do not constitute prohibited political campaign activity. Haven has received recognition under Section 501(c)(3) of the Internal Revenue Code and shall not engage in any type of
political activity without first obtaining written approval from both the President and Compliance Officer.

Your personal political activity is totally voluntary and contributions to candidates or campaigns should only be made if you freely choose to do so. You should not bundle contributions, violate any provision of federal, state or local campaign finance laws or otherwise suggest that Haven endorses your political activity.

Government Contracts

Always remember that the government is a unique customer. While we always conduct our business with the highest degree of integrity and honesty, there are unique rules applicable to government contractors.

When we act as a government contractor, we have a special obligation to the government (along with the public at large) to ensure that we perform that work with the highest degree of integrity. Accordingly, we must all be committed to compliance with not only the letter but also the spirit of the laws and regulations that apply to our government contracting business.

Although all of the standards discussed in this Code are applicable whether the customer is the government or a private entity, particular statutes and regulations apply to government business dealings. For example, under Title 18 of the United States Code, it is a crime to knowingly make a false claim for payment to the government or to knowingly make a false statement to the government. If you falsify data that is submitted to the government, you have committed a crime. This is true even if you are not doing so in an attempt to obtain payment. Both you and the organization could be subject to criminal prosecution for your violation and could be subject to large penalties and fines. In addition, both you, as an individual, and our company, as an organization, could be prohibited, through suspension and debarment or exclusion, from working on government projects in the future.

Relationships with Elected Officials

Special restrictions may apply to recruiting former government personnel and the activities of former government employees retained as associates or consultants. Approval must be obtained from the Compliance Officer before even mentioning possible employment to a current government employee and before retaining any former government employee. Specific procedures dealing with such relationships have been approved by Haven’s Board of Directors and are applicable to Haven and its associates.

Equal Employment Opportunities/Sexual Harassment/Workplace Safety

At Haven, we value diversity in our workforce. We are committed to the principles and practice of equal opportunity and affirmative action. We are committed to continuing to develop and maintain
a highly qualified, culturally diverse workforce which is able to meet the cultural and linguistic needs of our diverse patients.

Furthermore, it is the policy of Haven to operate under sound and legal employment practices. The objective is to be fair and equitable in the treatment of all associates and in all situations. This includes at least the following:

1) Selection and placement of any associate is based on that associate’s qualifications, without regard to race, religion, national origin, gender, age, disability or veteran status;

2) Compensation is in accordance with the associate’s contribution to Haven and compensation decisions shall be made entirely independent of the considerations listed above; and

3) Haven shall provide a safe and healthy work environment for all associates. Haven shall not tolerate any type of harassment – including sexual harassment – in the workplace and shall take appropriate disciplinary action should any instance of harassment be discovered.

Haven is committed to a workplace that is free from sexual, racial, or other unlawful harassment, and from threats or acts of violence or physical intimidation. Abusive, harassing or other offensive conduct is unacceptable, whether verbal, physical or visual. If you believe that you have been harassed or threatened with or subjected to physical violence in or related to the workplace, you should report the incident to an appropriate supervisor or Human Resources, who shall arrange for it to be investigated. All efforts shall be made to handle the investigation confidentially.

Haven shall not tolerate the possession, use or distribution of pornography or racially or sexually offensive materials on in the workplace, or the use of Haven’s personal computers or other equipment to obtain or view such materials. All associates and officers must promptly contact an appropriate supervisor or the HR Business Partner about the existence of offensive materials, especially child pornography, on Haven’s systems or premises so that appropriate action may be taken, including notifying the proper authorities if necessary.

Haven is committed to providing a drug-free work environment. The illegal possession, distribution, or use of any controlled substances on its premises or at employment-related functions is strictly prohibited. Similarly, reporting to work under the influence of any illegal drug or alcohol and the abuse of alcohol or medications in the workplace is strictly prohibited.

All accidents, injuries, or concerns about unsafe equipment, practices, conditions or other potential hazards should be immediately reported to an appropriate supervisor.
Protection of Assets

You have a personal responsibility to protect the assets of Haven from misuse or misappropriation. The assets of Haven include tangible assets, such as products, equipment and facilities, as well as intangible assets, such as intellectual property, trade secrets, reputation and business information (including any non-public information learned as a Covered Person).

Theft/Misuse of Assets

Assets of Haven may only be used for business purposes and such other purposes as are approved by Haven. You must not take, make use of, or knowingly misappropriate the assets of Haven for personal use, for use by another, or for an improper or illegal purpose. You are not permitted to remove, dispose of, or destroy anything of value belonging to Haven without Haven’s express prior written consent, including both physical items and electronic information.

Confidential Information/Privacy

You must not use or disclose any confidential information to any person or entity outside of Haven, either during or after termination of your status as a Covered Person, except with written authorization of Haven or as may be otherwise required by law or regulation. You may not use confidential information for your own personal benefit or the benefit of persons or entities outside Haven.

Confidential information includes all non-public information learned as a Covered Person. It includes, but is not limited to:

1) Non-public information that might be (i) of use to suppliers, vendors, joint venture partners or others, (ii) of interest to the press, or (iii) harmful to Haven or any of its constituents, if disclosed;

2) Non-public information relating to Haven’s operations, including financial information, minutes, reports and materials of the Board of Directors and its committees, and other documents identified as confidential;

3) Non-public information about discussions and deliberations, relating to business issues and decisions, between and among associates, officers and directors; and

4) Non-public information about Covered Persons, volunteers, or any other individuals about whom Haven may hold information from time to time.
Outside Communication

Haven is committed to providing full, fair and accurate disclosure in all public communications and in compliance with all applicable law, regulations and rules. Consistent with this commitment, associates may not answer questions from the media, or any other members of the public unless specifically authorized to do so. If you should receive such an inquiry, you should obtain the name of the person and their contact information if possible and immediately notify the Public Relations Manager.

As individuals we all have rights to speak out on issues including in a public forum, whether at your town hall or on a social networking media application or website. However, when you speak as an individual it is critical that you do not give the appearance of speaking or acting on behalf of Haven, and that you do not speak about Haven. You should be especially aware of the broad reach of social networking media applications and websites, and that such media is increasingly being monitored by customers, competitors, regulators and colleagues. Your comments may be attributed to Haven, even though you did not intend for your comments to be attributed that way.

Whether or not you identify yourself as an associate of Haven, you may not comment on or provide information relating to Haven’s business (even if such information is not confidential) in an internet chat room, newsgroup, guest book, bulletin board, blog, social or business networking site or similar forum unless you are specifically authorized to do so. You should not comment in such a forum on any subject matter as to which you have knowledge or expertise by virtue of your duties with Haven. Finally, you should not post in such a forum your opinions about Haven unless you are specifically authorized to do so.

Network Use, Integrity & Security

Haven reserves the right to monitor or review any and all data and information contained on any computer or other electronic device issued by Haven. In addition, Haven reserves the right to monitor or review use of the Internet through Haven resources, Haven’s Intranet and Haven’s e-mail or any other electronic communications without prior notice.

Access to Haven systems shall be revoked and disciplinary action may be taken in the event that such systems are used to commit illegal acts, or to violate the harassment, nondiscrimination, pornography, solicitation or proprietary information terms of the Code, or any other terms of the Code.

In order to maintain systems integrity and protect Haven’s network, no Covered Person should divulge any passwords used to access any Haven computer or database. Any suspected breach of Haven’s network security systems should be reported to a responsible supervisor or appropriate internal authority immediately.

All Covered Persons should refrain from using or distributing software that may damage or disrupt Haven’s work environment by transmitting a virus or conflicting with Haven’s systems.
No Covered Person should engage in the unauthorized use, copying, distribution or alteration of computer software whether obtained from outside sources or developed internally. All software, including “shareware,” contains terms of use that must be adhered to.

Accounting Practices, Books And Records And Record Retention

Honest and accurate recording and reporting of information is critical to our ability to make responsible business decisions. You have a strict obligation to provide accurate information in the records of Haven.

You are expected to support our efforts in fully and fairly disclosing the financial condition of Haven in compliance with applicable accounting principles, laws, rules and regulations and making full, fair, accurate timely and understandable disclosure in our reports filed with regulatory agencies and other communications. Our financial statements and the books and records on which they are based must accurately reflect all transactions and conform to all legal and accounting requirements and our system of internal controls.

All Covered Persons have a responsibility to ensure that our accounting records do not contain any false or misleading entries. We do not tolerate any misclassification of transactions as to accounts, departments or accounting periods and, in particular:

1) All accounting records, as well as reports produced from those records, are to be kept and presented in accordance with law and are to comply with generally accepted accounting principles;

2) All records are to fairly and accurately reflect the transactions or occurrences to which they relate;

3) All records are to fairly and accurately reflect in reasonable detail the assets, liabilities, revenues and expenses of Haven to which the records relate;

4) No accounting records are to contain any false or misleading entries;

5) All transactions are to be supported by accurate documentation in reasonable detail and recorded in the proper account and in the proper accounting period; and

6) The system of internal accounting controls of Haven, including compensation controls, is required to be followed at all times.

Always record data in a timely and accurate manner. This protects our resources and meets the expectations of the people who rely on the accuracy of our records to perform their jobs. Falsifying
business records is a serious offense, which may result in criminal prosecution, civil action and/or disciplinary action up to and including termination of employment. If you are authorized to make expenditures or enter into transactions on behalf of Haven, you must ensure that the applicable records comply with our accounting and purchasing policies and that all transactions are recorded properly.

Consistent with the reporting and recordkeeping commitments discussed above, you should accurately and truthfully complete all records used to determine compensation or expense reimbursement. This includes, among other items, reporting of hours worked (including overtime) and reimbursable expenses (including travel and meals).

Compliance with our Records Retention Policy and Procedures is mandatory. Destroying or altering a document with the intent to impair the document’s integrity or availability for use in any potential official proceeding is a crime. Destruction of records may only take place in compliance with our Records Retention Policy and Procedures. Documents relevant to any pending, threatened, or anticipated litigation, investigation, or audit shall not be destroyed for any reason. If you believe that our records are being improperly altered or destroyed, you should report it to a responsible supervisor or the Compliance Officer.

**REPORTING OF VIOLATIONS**

Illegal acts or improper conduct may subject Haven to severe civil or criminal penalties, including large fines or sanctions and being barred from certain types of business. It is, therefore, very important that any illegal or unethical activity or violation of the Code be reported promptly.

1) Any associate who has questions about the interpretation or application of the Code to particular acts or conduct is encouraged to seek the guidance of the Compliance Officer. Directors and members of corporate advisory boards or community advisory boards having questions about the application of the Code are encouraged to seek guidance from the Chairman of Haven’s Board and the Compliance Officer.

2) Any Covered Person who believes a violation of the Code or any other illegal activity has occurred, shall promptly report the violation in person, by phone or in writing as follows:

   a) If the Covered Person is a director or member of a corporate advisory board or community advisory board, report the violation to the Compliance Officer and the Chairman of the Board.

   b) If the Covered Person is not a director or member of a corporate advisory board or community advisory board, report the violation to the Compliance Officer;
3) It is a violation of the Code for any Covered Person who believes in good faith a violation of the Code or other illegal activity has occurred not to report a violation or other illegal/unethical activity.

4) It is the policy of Haven to promptly and thoroughly investigate all reports of illegal or unethical activity or violations of the Code. Covered Persons are required to cooperate with these investigations. It is a violation of the Code for any Covered Person to prevent, hinder or delay discovery and full investigation of such reports.

5) Covered Persons may report such activity or violation anonymously. Haven shall take reasonable precautions to maintain the confidentiality of those individuals who report such activity and of those involved in the alleged improper activity.

6) No reprimands or disciplinary action shall be taken or permitted against Covered Persons for good faith reporting of, or cooperating in the investigation of, activities or violations of the Code.

7) Covered Persons who violate the Code or commit illegal or unethical acts are subject to discipline up to and including dismissal. Covered Persons who report their own misconduct, however, will have such self-reporting taken into account in determining the appropriate disciplinary action.
ADMINISTRATION AND APPLICATION OF THIS CODE OF ETHICAL BUSINESS CONDUCT

Haven expects each Covered Person to abide by the standards set forth herein and to conduct the business and affairs of Haven in a manner consistent with these principles.

Failure to abide by the Code may result in disciplinary action. To determine the appropriate disciplinary action for a violation of the Code or a failure to report such a violation, the Compliance Officer and members of senior management may take into account the following factors:

1) The nature of the violation and the ramifications to Haven, its patients and other stakeholders;

2) Whether the Covered Person’s involvement was direct or indirect;

3) Whether the violation was willful or unintentional;

4) Whether the violation represented an isolated occurrence or a pattern of conduct;

5) Whether the Covered Person withheld relevant or material information and the degree to which the Covered Person cooperated with the investigation;

6) Any action previously imposed for similar violations and/or the Covered Person’s past violations; and

7) Whether the violation constituted a fraudulent act or involved intentional deception.

Nothing in the Code is intended to nor shall be construed as providing any additional employment or contract rights to Covered Persons. While Haven shall generally attempt to communicate changes concurrent with or prior to the implementation of such changes, Haven reserves the right to modify, amend or alter the Code without notice to Covered Persons.

COMPLIANCE HOTLINE

To request information about Haven’s Compliance Program or to report possible misconduct, please contact a member of the compliance staff. The Compliance Hotline is available 24 hours per day by calling toll-free 1-877-286-3889. Alternatively, the compliance staff may be contacted by mail at the following address:
It is the policy of Haven to encourage the communication of bona fide concerns relating to the lawful and ethical conduct of business, and audit and accounting procedures or related matters. It is also the policy of Haven to protect those who communicate bona fide concerns from any retaliation for such reporting. No retribution against any individual who reports violations of the Code in good faith shall be permitted. Confidential and anonymous mechanisms for reporting concerns are available and are described in the Code. However, anonymous reporting does not serve to satisfy a duty to disclose your potential involvement in a conflict of interest or in unethical or illegal conduct. Every effort shall be made to investigate confidential and anonymous reports within the confines of the limits on information or disclosure such reports entail. While self-reporting a violation shall not excuse the violation itself, the extent and promptness of such reporting shall be considered in determining any appropriate sanction, including dismissal. Haven shall investigate any matter which is reported and shall take any appropriate corrective action.